

THE RESEARCH CENTERS IN MINORITY INSTITUTIONS (RCMI) TRANSLATIONAL RESEARCH NETWORK: BUILDING AND SUSTAINING CAPACITY FOR MULTI-SITE BASIC BIOMEDICAL, CLINICAL AND BEHAVIORAL RESEARCH

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The Research Centers in Minority Institutions (RCMI) program was established by the US Congress to support the development of biomedical research infrastructure at minority-serving institutions granting doctoral degrees in the health professions or in a health-related science. RCMI institutions also conduct research on diseases that disproportionately affect racial and ethnic minorities (ie, African Americans/Blacks, American Indians and Alaska Natives, Hispanics, Native Hawaiians and Other Pacific Islanders), those of low socioeconomic status, and rural persons. Quantitative metrics, including the numbers of doctoral science degrees granted to underrepresented students, NIH peer-reviewed research funding, peer-reviewed publications, and numbers of racial and ethnic minorities participating in sponsored research, demonstrate that RCMI grantee institutions have made substantial progress toward the intent of the Congressional legislation, as well as the NIH/NIMHD-linked goals of addressing workforce diversity and health disparities. Despite this progress, nationally, many challenges remain, including persistent disparities in research and career development awards to minority investigators. The continuing underrepresentation of minority investigators in NIH-sponsored research across multiple disease areas is of concern, in the face of unrelenting national health inequities. With the collaborative network support by the RCMI Translational Research Network (RTRN), the RCMI community is

In Memoriam

In loving memory of Mrs. Cassandra Denise Jackson, Program Manager, RCMI Translational Research Network at Morehouse School of Medicine (May 30, 1962 - August 8, 2018).

uniquely positioned to address these challenges through its community engagement and strategic partnerships with non-RCMI institutions. Funding agencies can play an important role by incentivizing such collaborations, and incorporating metrics for research funding that address underrepresented populations, workforce diversity and health equity. *Ethn Dis.* 2019;29(Suppl 1):135-144; doi:10.18865/ed.29.S1.135.

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INTRODUCTION

The Department of Health and Human Services (DHHS) action plan to reduce racial and ethnic health disparities emphasizes the importance of a diverse workforce.¹ A growing field of investigation has unveiled the

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potential of a diverse workforce to improve health care access, increase patient satisfaction, and ensure culturally competent care by adequately addressing social determinants that impact health during medical interactions with patients.² The central role of the Research Centers in Minority Institutions (RCMI) consortium in addressing National Institutes of Health (NIH)-linked objectives of a diverse workforce and health equity was highlighted in three keynote presentations delivered at the RCMI Translational Science 2017 Conference in Washing-

ton, DC. During the opening plenary keynote, NIH Director Dr. Francis S. Collins, stated, “we look to the RCMI community as the brain trust to help develop novel solutions on health disparities.”³ During his plenary address, Dr. Eliseo Pérez-Stable, director of the National Institute on Minority Health and Health Disparities (NIMHD), underscored the importance of addressing the levels of influence from the individual to the societal level, across the various domains of influence from the biological to the sociocultural environment and indeed beyond, to the health care system.⁴ At the closing plenary, Dr. Lawrence A. Tabak, NIH princi-

pal deputy director, called attention to the importance of RCMI grantee institutions in the nation’s biomedical research and workforce diversity, stating, “everything about RCMI is embedded in the strategic plan of NIH.”⁵ This article presents data on the impact of the RCMI program and the RCMI Translational Research Network (RTRN). The historical context of the RCMI program and its impact on NIH priorities is relevant to the network’s value as a strategic partner in addressing current challenges in workforce diversity and health equity.

HISTORY OF THE RCMI PROGRAM

The RCMI program was established in 1985 in response to committee report language (House Report 98-911) attached to H.R. 6028, the Departments of Labor, Health and Human Services, and Education and Related Agencies Appropriation Act, 1985, to “establish research centers in those predominantly minority institutions which offer doctoral degrees in the health professions or the sciences related to health.”⁶ Subsequent legislation (H.R. 3010) upheld and further recognized the critical role played by the RCMI program and encouraged NIH to strengthen participation from minority institutions and resources available for this area.

Through the original legislation that established the RCMI program in 1985, NIH moved to strengthen the research environment in predominantly minority institutions by providing funds to: “1) develop and

enhance the institutional research infrastructure necessary for the conduct of biomedical and/or behavioral research; 2) enable minority institutions to become more successful in obtaining competitive extramural support for the conduct of biomedical and/or behavioral research; and 3) enhance the biomedical research environment at these institutions.” To accomplish this, at least two-thirds of the requested funds were expected to be directed to build multi-user resources, rather than to support individual research projects. Thus, research infrastructure is central to the legislative intent of the RCMI program.

DESIGN OF THE RCMI PROGRAM

RCMI Research Infrastructure and Research Development

The National Center for Research Resources (NCRR) at NIH served as the administrative home of the RCMI program, which evolved from its initial focus on providing infrastructure solely for basic biomedical research. In the mid-1990s, the focus was expanded to include the development of infrastructure to increase research capacity for clinical research, and in 2002-2003 infrastructure for community-based research. The RCMI program at NCRR also administered a trans-NIH-funded Clinical Research Education and Career Development (CRECD) program supported through an R25 mechanism. Figure 1 shows the timeline and mechanisms of RCMI funding. Regardless of the mechanism, all RCMI awards were

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ton, DC. During the opening plenary keynote, NIH Director Dr. Francis S. Collins, stated, “we look to the RCMI community as the brain trust to help develop novel solutions on health disparities.”³ During his plenary address, Dr. Eliseo Pérez-Stable, director of the National Institute on Minority Health and Health Disparities (NIMHD), underscored the importance of addressing the levels of influence from the individual to the societal level, across the various domains of influence from the biological to the sociocultural environment and indeed beyond, to the health care system.⁴ At the closing plenary, Dr. Lawrence A. Tabak, NIH princi-

competitively funded to support infrastructure and research development. Each RCMI-funded Center and the RCMI Network (RTRN) have independent external advisory board members, most of whom are from non-RCMI institutions.

The RCMI Translational Research Network (RTRN) was established in 2007 to enhance collaboration across RCMI grantee institutions. The network is designed to engage all RCMI stakeholders, increase the quality and efficiency of basic biomedical, behavioral, and clinical research, facilitate study participant recruitment and retention,

and increase the efficiency of the implementation and dissemination of research advances to improve health outcomes among minority and health disparity populations.

In 2011, NCRR was abolished, as part of an NIH reorganization to create the National Center for Advancing Translational Sciences (NCATS). Several of the NCRR programs were reassigned to other NIH institutes. At that time, the overall RCMI Program was transferred to NIMHD, where it has since remained. Starting in 2017, NIMHD began a transition of the various RCMI funding mechanisms to a single U54 program (Figure 1).

RCMI TRANSLATIONAL RESEARCH NETWORK (RTRN): A COLLABORATION FRAMEWORK FOR MULTI-SITE RESEARCH AND INVESTIGATOR DEVELOPMENT

Since its establishment in 2007, RTRN has served to unite the member minority-serving research universities, colleges of pharmacy and veterinary medicine and medical schools under a common goal of conducting research to develop collaborative solutions for health disparities in commu-

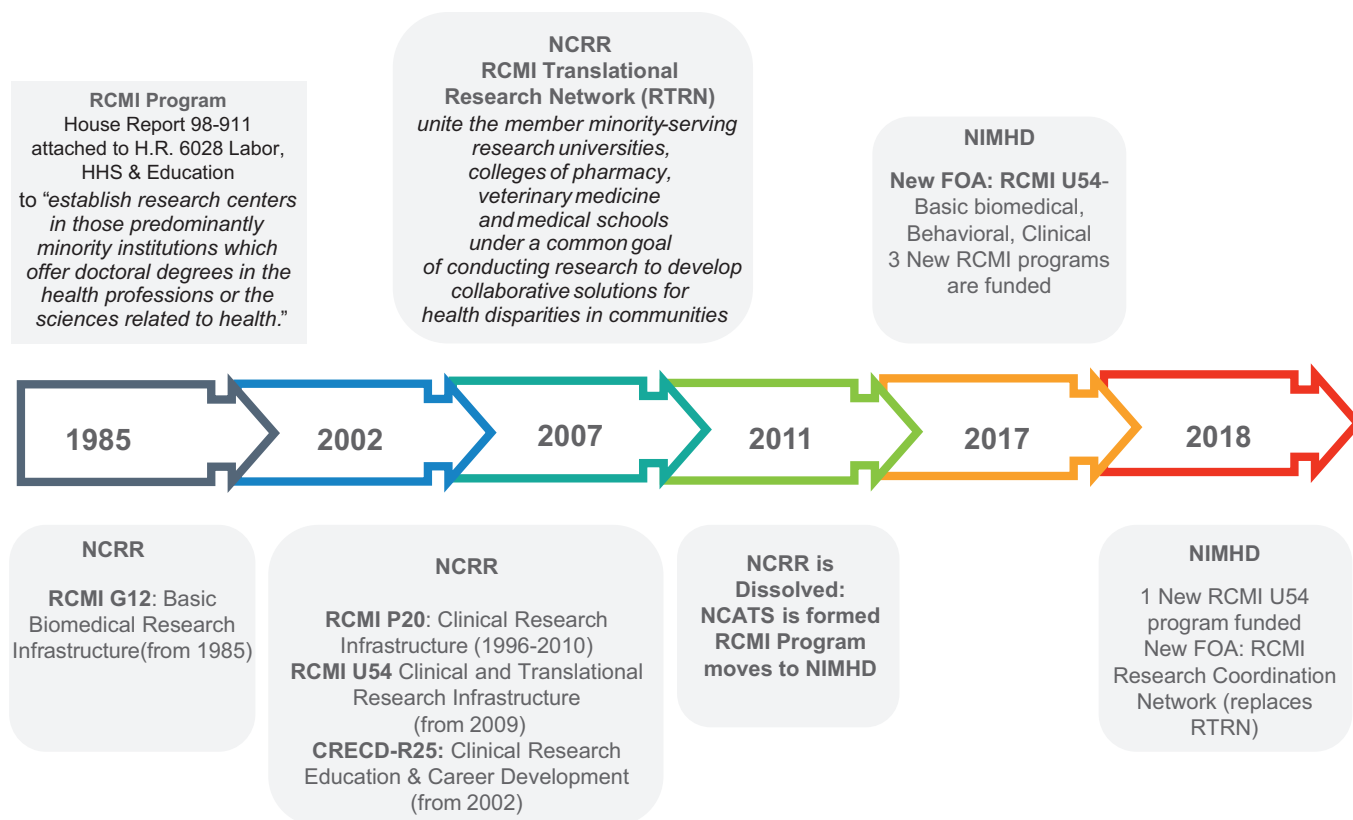


Figure 1. The Research Centers in Minority Institutions (RCMI) program^a

a. Established by the US Congress to take a "critical role in addressing the health research and training needs of minority populations."

NCRR, National Center for Research Resources; NIMHD, National Institute on Minority Health and Health Disparities; NCATS, National Center for Advancing Translational Science; RCMI, Research Centers in Minority Institutions.

nities impacted by high disease burden and poor access to health care. RTRN leverages the scientific expertise, technologies and innovations of its RCMI minority-serving research institutions to accelerate the delivery of solutions to address critical health problems, particularly health disparities.^{7,8}

The network is governed by a steering committee, which has representation from RCMI and non-RCMI grantee institutions, industry collaborators, and community partners. The steering committee develops and ratifies policies for network activities, such as data sharing and IRB harmonization. The Network has six steering subcommittees, which are responsible for optimizing resource utilization: Education and Dissemination; Ethics and Regulatory; Protocol Review; Publications and Presentations; Core Resources; and Community Engagement.

The Network's Infrastructure Supports Investigator Development, Research Implementation and Data Coordinating Functions

The Network's ability to support translational science – from laboratory research to clinical research and from clinical research to the community and public health practice – hinges around the implementation of the translational research cluster (TRC) system. This system comprises 10 clusters, based on disease and cross-cutting scientific focus areas that include: Cancer; Cardiovascular and Related Diseases; Community Engagement; Genes & Environmental Health/Toxicology; HIV/AIDS; Infectious & Immunologic Diseases; Informatics; Neurologic Disorders & Mental Health;

Obesity & Metabolic Syndromes; Child Health; Women's Health & Reproductive Biology. The clusters are embedded within cyber workspaces, where clinical, biomedical and behavioral researchers meet monthly to collaboratively develop concepts into research projects. RCMI investigators also compete for small research grant/pilot research awards to support inter-institutional collaborative research.⁸

The RTRN enables multi-site clinical and behavioral health research through: 1) training in good clinical practice (GCP), including regulatory compliance and single IRB implementation to support multi-site research studies; 2) clinical research study management, including best practices for the facilitation, coordination, financial/budgeting, project management and other administrative processes regarding clinical trials; 3) supporting participant recruitment with informatics tools, such as i2b2 (Informatics for Integrating Biology and the Bedside).⁹ Network-supported projects and investigators receive: support for biostatistics; clinical data management and data safety monitoring; project management and communication; research data management from the Data Coordinating Center at Jackson State University.

THE CHALLENGE OF ACHIEVING DIVERSITY IN THE BIOMEDICAL RESEARCH WORKFORCE

The NIH has long recognized that achieving diversity in the biomedical and behavioral research workforce is critical to ensuring that the best and

brightest minds have the opportunity to contribute to the achievement of our national research goals. Despite longstanding efforts from the NIH and other entities across the biomedical and behavioral research landscape to increase the number of scientists from underrepresented groups, investigator diversity still falls far short of mirroring that of the US population.^{10,11}

Additionally, a disturbing discrepancy in success rates for research grant (R01) applications between White and Black applicants, even after controlling for numerous observable variables, was reported in 2011 by Ginther and colleagues.¹¹ Marked differences in funding success were also observed depending upon the institution from which an applicant submitted their application. Applications from the 30 most highly NIH-funded institutions had a higher probability of funding than those from institutions ranked 31 to 200. In turn, applications from the 31 to 200 institutions were more likely to be funded than those from institutions ranked 201 and beyond. In all groups, a disparity was observed for Black applicants relative to majority applicants in the same rank group. Subsequently, to address this unacceptable status quo on minority underrepresentation in biomedical and behavioral research and other challenges cited by the Working Group on Diversity in the Biomedical Research Workforce (WGDBRW), NIH funded the Diversity Program Consortium (DPC) in 2014. This consortium includes three programs: Building Infrastructure Leading to Diversity (BUILD);¹² National Research Mentoring Network (NRMN);¹³ and Coordination and Evaluation Center (CEC).¹⁴

Table 1. RCMI institutions that contributed workforce and research participant data

| | |
|---|--|
| Charles R. Drew University, Los Angeles, CA | Ponce Health Sciences University, Ponce, PR |
| City College of New York, New York, NY | Texas Southern University, Houston, TX |
| Clark Atlanta University, Atlanta, GA | Tuskegee University, Tuskegee, AL |
| Florida Agricultural & Mechanical University, Tallahassee, FL | Universidad Central del Caribe, Bayamón, PR |
| Howard University, Washington, DC | University of Hawaii at Manoa, Honolulu, HI |
| Hunter College, CUNY, New York, NY | University of Puerto Rico Medical Sciences, San Juan, PR |
| Jackson State University, Jackson, MS | University of Texas at El Paso, TX |
| Meharry Medical College, Nashville, TN | University of Texas at San Antonio TX |
| Morehouse School of Medicine, Atlanta, GA | Xavier University of Louisiana, New Orleans, LA |

The RTRN Responds: Collecting Impact Data

Given that the RTRN addresses many of the areas of concern raised by the WGD BRW and has much to offer the BUILD, NRMN, and CEC endeavors, the RTRN and RCMI site lead investigators convened to review their collective experience as a network addressing the science of health disparities and research that provided evidence for the benefits of multi-site research,¹⁵⁻²² meaningful inclusion of diverse populations in research studies,^{23,24} training,²⁵⁻²⁷ and coaching models to advance the careers of under-represented early stage investigators.²⁸

During RTRN-convened meetings of RCMI, principal investigators and program directors agreed on the process and timeline for data collection to support research publications and dissemination. Meetings included regularly scheduled monthly meetings, as well as a full-day leadership research retreat. A standardized data survey was electronically administered to all 18 institutions with active RCMI awards in 2016 (Table 1); all 18 institutions participated in the survey. Total funding and funding periods for RCMI programs, including G12 RCMI, U54 RCTR and R25 CRECD, were obtained

from the NIH RePORTER database.

In addition, RCMI-funded programs provided reports on other funding (from government, foundation, industry, etc.) leveraged by RCMI-funded programs, clinical and translational research scholars trained, research participants enrolled in protocols, and patents awarded. We used progress reports submitted to NIH for funding renewal and publications citing RCMI funding from PubMed Central. Data were collected for 2000–2015.

IMPACT OF THE RCMI CENTERS AND RTRN ON COLLABORATION AND SCIENTIFIC DISCOVERY

RCMI Collaborative Authorship was quantified using the Profiles Research Networking Software (Profiles RNS), which is an NIH-funded open-source tool to identify researchers with specific areas of expertise for collaboration and professional networking. Built-in network analysis and data visualization tools show connections and what factors influence collaborations. RTRN implemented Profiles RNS in collaboration with the Harvard Catalyst Clinical and Translational Science

Award (CTSA). RTRN also implemented the eagle-i resource discovery tool. Profiles RNS and eagle-i resources support research collaboration.

Analysis of collaborative authorship revealed increased connections after RTRN, compared with before RTRN. Inter-institutional co-

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authorship data showed increased collaboration and co-authorship across the Network. From a baseline of 157 authors and 282 connections, during 2000 to 2007 (before RTRN), co-authorship increased to 421 authors and 1,294 connections from 2007 to 2018 (after RTRN).

Table 2. Number of science doctoral degrees and health professions doctoral degrees awarded, by race/ethnicity from 18 RCMI grantee institutions (2000–2015)^a

| Race/ethnicity | Science doctoral degrees | Health professions doctoral degrees |
|--------------------------------|--------------------------|-------------------------------------|
| African American/Black | 924 (25.9%) | 7,594 (40.7%) |
| Hispanic | 885 (24.8%) | 5,785 (31.0%) |
| American Indian/Alaska Native | - | - |
| Asian ^b | 548 (15.3%) | 2,566 (13.8%) |
| White | 915 (25.6%) | 1,230 (6.6%) |
| Other (multi-racial/bi-racial) | 298 (8.3%) | 1,482 (7.9%) |
| Total | 3,570 | 18,657 |

a. New RCMI Institutions (since 2017 and 2018), did not contribute data: Florida International University, Miami, FL; North Carolina Central University, Durham, NC; Northern Arizona University, Flagstaff, AZ; San Diego State University, CA.

b. Asian includes Native Hawaiian/Pacific Islanders.

Thus, RTRN enabled less-siloed science, as demonstrated by more connections and co-authorships.

RCMI Impact on Discovery Science: Research Funding, Publications, Patents and Return on Investment

Based on data from 18 institutions funded between 2000 and 2015, RCMI investigators leveraged \$805 million in RCMI program funds into \$3.7 billion in additional awards, including 1,643 R01 (or equivalent) awards. The impact of RCMI discoveries is documented in 14,672 publications over the same time period; 2,562 publications have multiple citations. There were more than 500 patent disclosures over the same time period.

RCMI Impact on Doctoral Science and Doctoral Health Professions Workforce Diversity

A total of 22,227 science and health professions doctoral degrees were awarded by 18 RCMI institutions between 2000 and 2015, including 3,570 PhD, 9,417 MD,

6,242 PharmD, 812 DVM, and 2,186 DMD. (Table 2) Based on 2002 and 2012 data from the National Science Foundation,²⁹ the 18 RCMI grantee institutions contributed almost 1 in 4 science doctoral degrees and 1 in 4 health professions doctoral degrees awarded to African Americans and Hispanics each year, in 2002 and 2012.

RCMI Collaborative Impact: Supporting Biomedical Research

With funding from the NIH DPC, the RCMI RTRN supported the Research Resources and Outreach Core of the NRMN to recruit diverse early stage investigators across RCMI and non-RCMI institutions. Scholars participated in an innovative grant writing, coaching and mentoring program, called Strategic Empowerment Tailored for Health Equity Investigators (SETH). RCMI and CTSA principal investigators served as advisors and helped to recruit early-stage investigators (ESI). Between 2016 and 2017, SETH trained 113 ESIs in four different cohorts. Two cohorts participated

in the Health Equity Collaboratory (EQ-Collaboratory) Virtual learning environment. ESI cohorts that had access to the Collaboratory (post-Collaboratory) were 4.8 times more likely to submit grant applications following grant writing/coaching training, compared with the ESI cohorts that did not have access to the Collaboratory (pre-Collaboratory). In all, regardless of cohort, the 113 ESI trainees submitted 78 total grants; 50% of trainees submitted at least 1 grant and 26% were awarded. Most (93%) of awards went to underrepresented groups (URGs); 73% of awards went to RCMI investigators.²⁸

This model will support future RCMI-sponsored research development, in collaboration with NIH-funded programs, such as CTSA.³⁰

RCMI Impact: Clinical Research Centers

To report impact on clinical research centers, we obtained data from the following RCMI Clinical Research Centers at RCMI grantee institutions with medical schools/health systems and active U54 awards between 2008 and 2016: Charles R. Drew University;

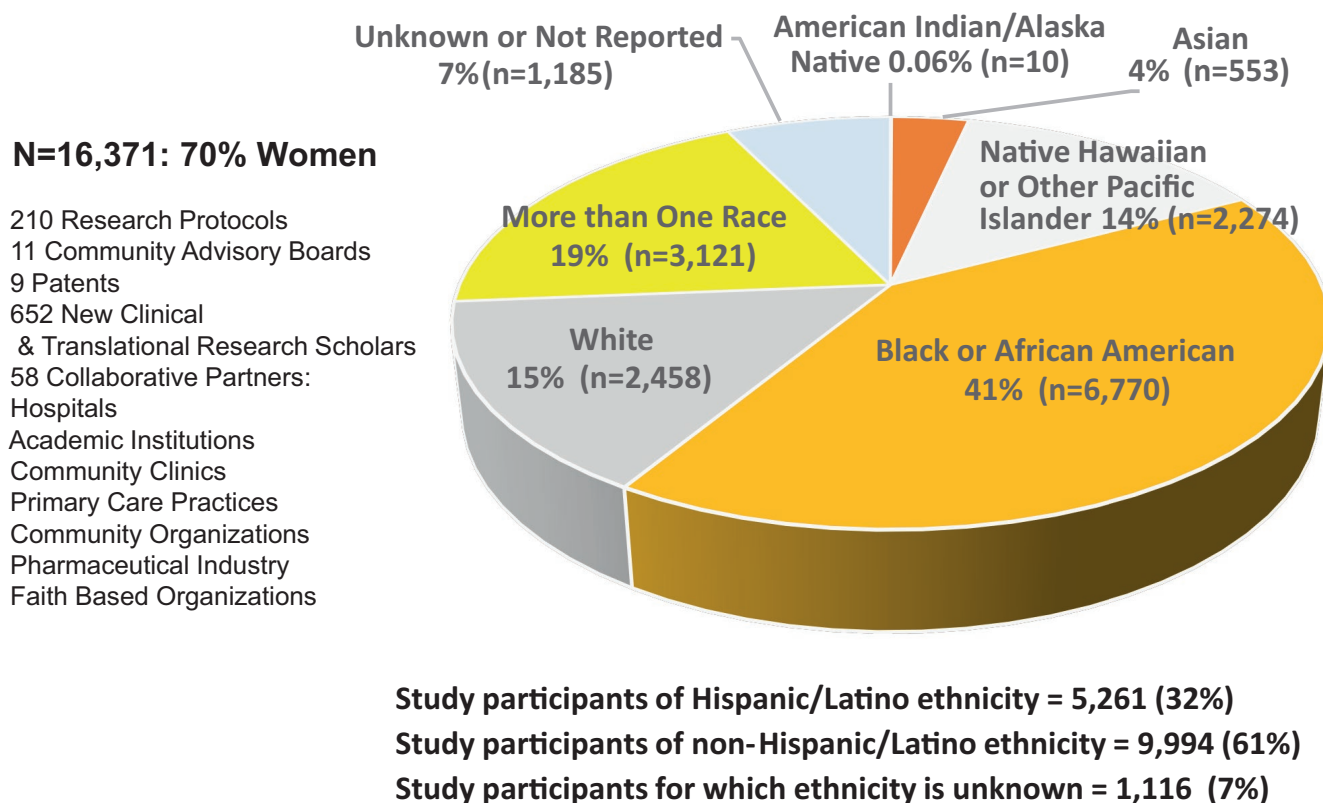


Figure 2. RCMI Clinical Research Centers: Study participants enrolled by race/ethnicity (2008-2016)

Howard University; Meharry Medical College; Morehouse School of Medicine; Puerto Rico Clinical and Translational Research Consortium (a partnership of three medical school/health science institutions in Puerto Rico); and University of Hawaii at Manoa.

Competitive NIH National Center for Research Resources (NCRR) funding of the RCMI Clinical Research Infrastructure Initiative expanded the capacity of these RCMI institutions to conduct clinical research and training (Figure 1). Between 2008 and 2016, these centers collectively enrolled 16,371 diverse research participants. Participants included 70% women; 41% Black or African American; 32% Hispanic; 14% Native Hawaiian;

15% White; 3% Asian and 1% Native American/Alaska Native. The RCMI Clinical Research Centers (CRCs) supported the training and career development of 652 new clinical and translational research scholars over the same time period. Nine patents, 58 collaborative partners, and 11 community advisory boards illustrate the effectiveness of the unique approach to partnerships and community engagement across RCMI institutions (Figure 2), while also demonstrating the significance and impact of RCMI institutions in addressing the interconnected goals of workforce diversity and health equity research. Of additional importance, the funding base of \$157,994,808 over nine years across

all RCMI clinical research centers resulted in an additional \$248,623,524, in extramural funding, for a return on investment (ROI) of 57.4% based on invested research dollars.

DISCUSSION

The RCMI program continues to substantially impact the diversity of the nation's biomedical research workforce while improving the health of minority communities and advancing knowledge in the science of health disparities. RCMI researchers are using advanced technologies to support collaborations that address cancer, cardiovascular

diseases, HIV/AIDS, neurologic disorders, child health, environmental health, and many other health problems plaguing underserved and underrepresented populations at disproportionately high rates.

RCMI funding support has helped awardee institutions make progress toward the Congressional-legislated goals and has been critical to developing and enhancing the research environment and competitiveness at these institutions. The RCMI program enables these institutions to become competitive in obtaining support to conduct biomedical, clinical, and/or behavioral research that is relevant to health disparities and the health of the nation. RCMI serves as a national resource for workforce diversity by playing a crucial role in the recently launched BUILD and NRMN, NIH's initiative on Diversity and Inclusion. RTRN is uniquely fostering team science across translational research among scientists in the network.

RTRN and RCMI are a substantial resource to NIH's strategic framework on health disparities, diversity and inclusion in the biomedical workforce. Continuing investment in the RCMI program, including its basic biomedical, clinical and behavioral health research infrastructure, is crucial to sustaining productivity.

Funding agencies, such as NIH, can play an important role in sustaining the network by incentivizing collaborations between RCMI and non-RCMI NIH funded programs. For example, when NCRRL launched CTSA's, research intensive institutions that submitted collaborative

applications with RCMI partners, were more competitively reviewed for funding. Similarly, NIH and other sponsors can incentivize and promote diversity by incorporating metrics for new and competing renewal of research awards, including meaningful inclusion of underrepresented populations in research, as well as recruitment and training of diverse scientists on research teams.

CONCLUSION AND FUTURE DIRECTIONS

The RCMI program has demonstrated a track record in addressing health disparities research, along with diversity and inclusion in the biomedical research workforce. RCMI investigators and institutions are uniquely positioned to address the national challenges of underrepresentation and persistent health inequities through community engagement and strategic partnerships with non-RCMI institutions. Funding agencies, like the National Heart Lung and Blood Institute (NHLBI), The National Institute of General Medical Sciences (NIGMS), National Cancer Institute (NCI), National Institute of Allergy and Infectious Diseases (NIAID), National Center for Advancing Translational Sciences (NCATS), National Institute of Neurological Disorders and Stroke (NINDS), can play an important role by supporting such collaborations. In order to advance health equity, metrics for research funding should include underrepresented populations and workforce diversity.

The RCMI consortium partners include health systems implementing innovative payment models, such as Accountable Care Organizations (ACO),³¹ and population health resources such as illness registries or specimen banks.³² RTRN is coordinating efforts to leverage these population health resources by deploying informatics tools such as i2b2,⁹ that will support data science initiatives, and accelerate research on the science of health disparities.³³

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CONFLICT OF INTEREST

No conflicts of interest to report.

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